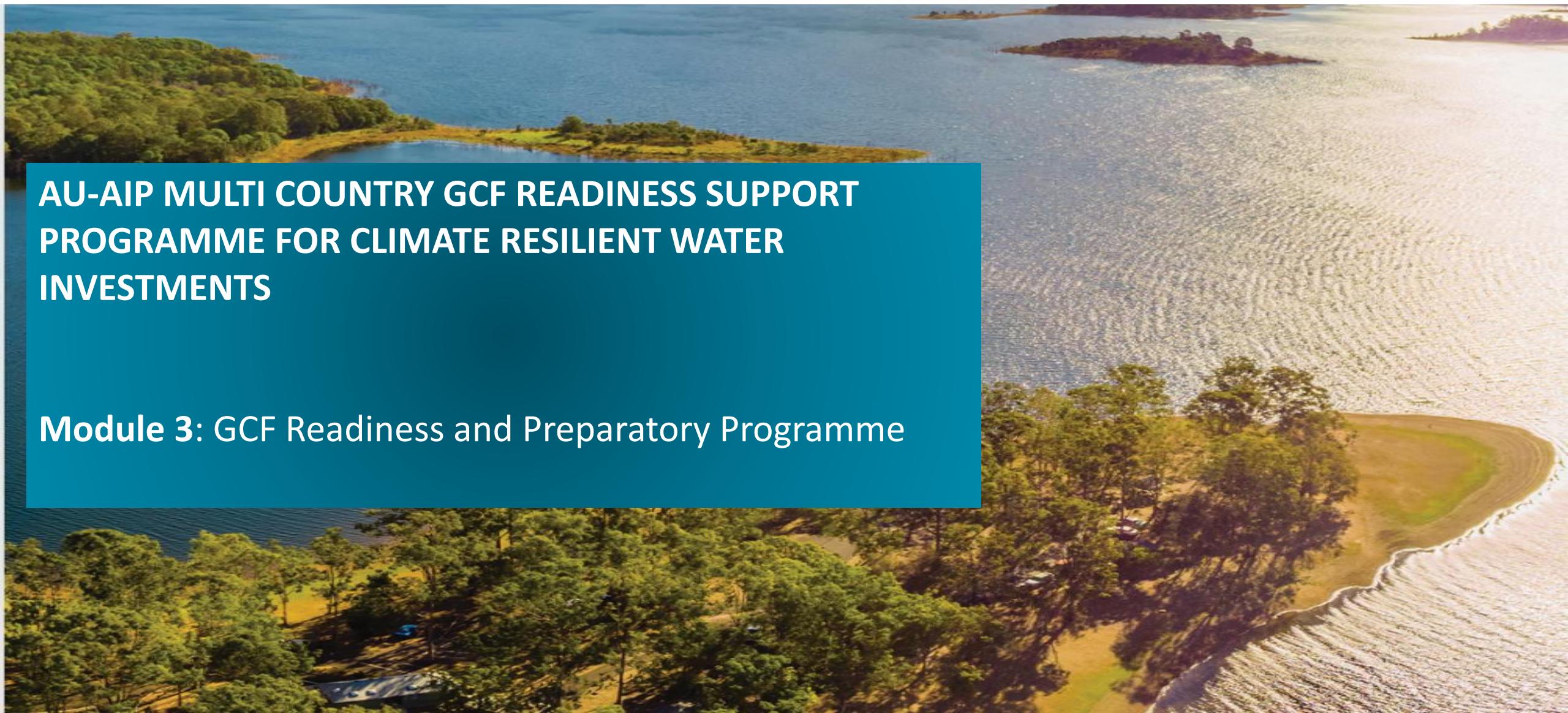


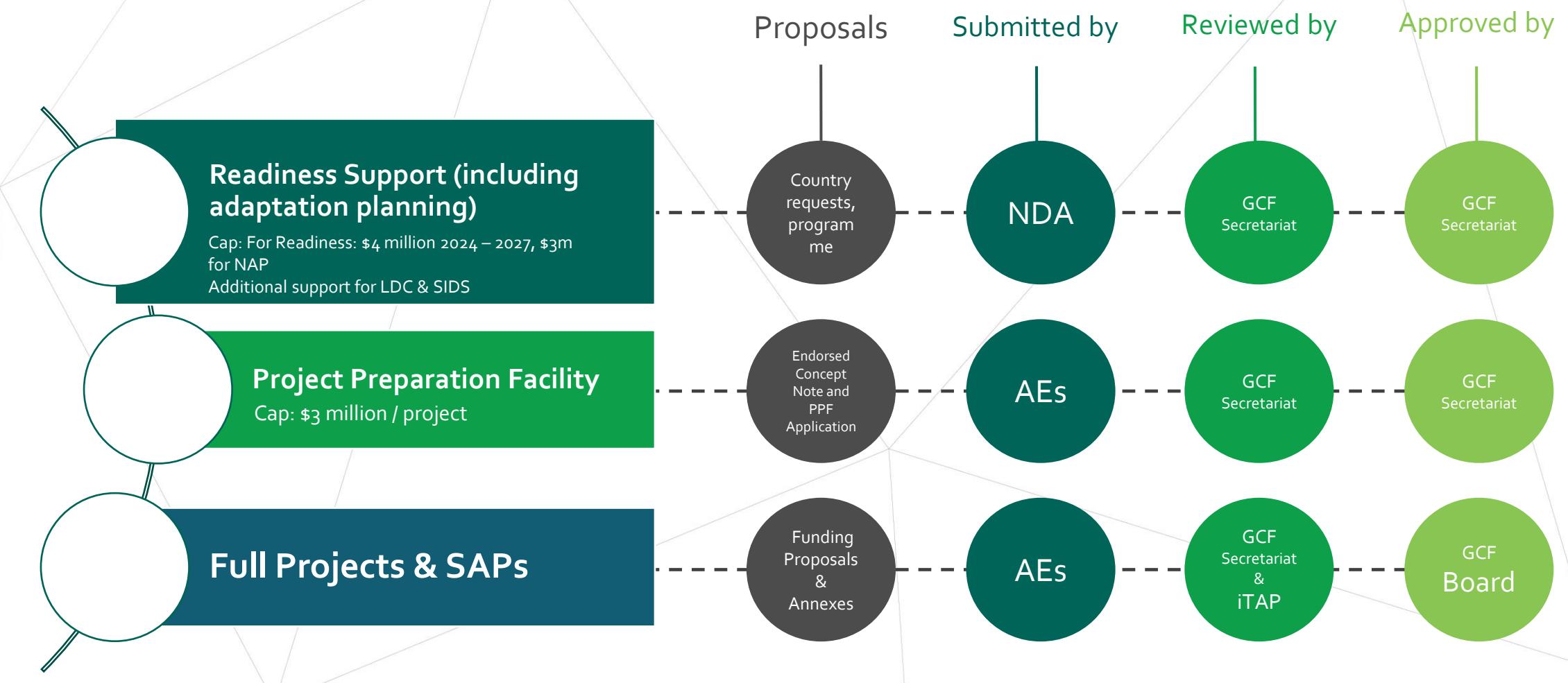


AU-AIP MULTI COUNTRY GCF READINESS SUPPORT PROGRAMME FOR CLIMATE RESILIENT WATER INVESTMENTS

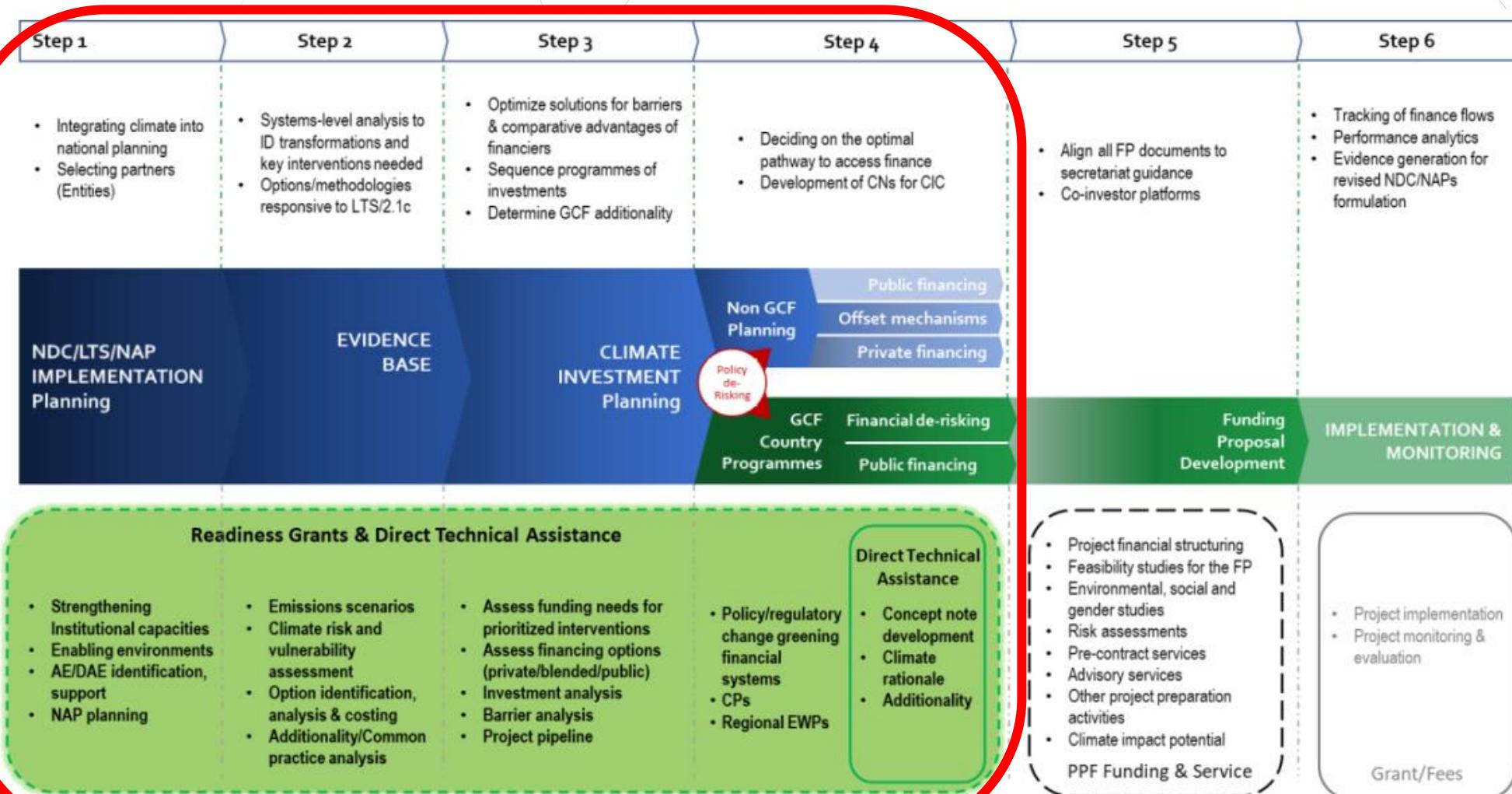
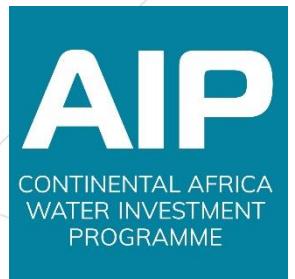
Module 3: GCF Readiness and Preparatory Programme



Windows to access GCF funding



GCF Programming Cycle



GCF Readiness Objectives 2024-2027



Objective 1: Capacity building for climate finance and setting up the enabling environment for integrated climate investment



Objective 2: Paradigm-shifting GCF pipeline development and implementation for adaptation and mitigation, based on country needs and guided by USP-2 programming targets



Objective 3: Knowledge-sharing and learning to enhance national and regional co-operation on climate programming and financing

Objective 1 areas of support (30%)



OUTCOMES

Outcome 1.1

Developing countries, through NDAs or focal points, have enhanced capacity to fulfil their roles, responsibilities and policy requirements, including coordination mechanisms to engage relevant stakeholders to develop, advance, and implement NDCs, NAPs, LTS.

BROAD AREAS OF SUPPORT FOR ILLUSTRATIVE PURPOSES

Enhance processes and systems and address capacity gaps related to project implementation and oversight, enabling DAEs, NDAs and the relevant stakeholders to quality assure results, and measure and report climate impacts. Bridge capacity gaps to facilitate **effective coordination** of climate investment planning and execution at various levels and with relevant stakeholders¹.

Continue to build and enhance **institutional capacity** (i.e., technical, managerial, and administrative capabilities) of NDAs and focal points, focusing on developing countries with no previous access to GCF resources.

Outcome 1.2

Developing countries design and implement strategic frameworks (including NDC/NAP/LTS), policies and instruments, including climate investment plans, to create enabling environments for integrated climate investments.

Support the development and enhancement and update of NDCs, NAPs, and LTSs, including through integrated climate investment planning, leveraging diverse climate finance sources.

Foster **policy and regulatory reform**, creating environments conducive to large-scale climate investments, including private sector-led initiatives.

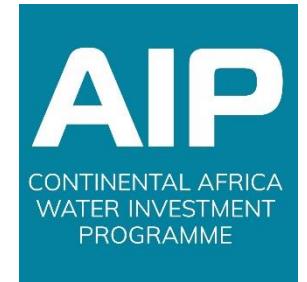
Outcome 1.3

Direct access applicants and accredited entities (DAEs) have met and maintained the accreditation standards of the GCF and strengthened their programming capacities, as evidenced by the development of GCF-funded activities.

Provide support for pre-accreditation and accreditation upgrades to candidate/DAEs upon request from NDAs (Country support modality).

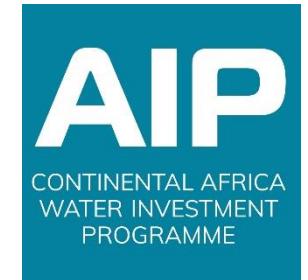
Support **full spectrum of capacity building initiatives** to enable accredited DAEs to programme with GCF (DAE support modality).

Objective 2 areas of support (60%)



OUTCOMES	BROAD AREAS OF SUPPORT FOR ILLUSTRATIVE PURPOSES
<p>Outcome 2.1 Developing countries have developed or updated their country programmes to guide GCF investment.</p>	<p>Support the development or update of GCF country programmes with a project pipeline aligning national strategies (NDCs, NAPs, LTS) and USP-2 targeted results to serve as the point of origination for GCF investment action, ensuring country ownership in line with GCF Board decisions.</p>
<p>Outcome 2.2 Developing countries have developed high-quality concept notes linked to approved GCF proposals for adaptation and mitigation that are aligned with the USP-2 results, including through DAEs, that build on readiness support and country programmes.</p>	<p>Support in generating project ideas, concept notes, and funding proposals from GCF country programmes, ensuring a seamless transition from project idea to concept to proposal for GCF funding, by leveraging and/or complementing the Project Preparation Facility (PPF), as necessary. Prioritise equity and gender dimensions in project design, recognising differentiated socioeconomic impacts, and striving for gender responsiveness and social inclusion.</p>
<p>Outcome 2.3 NDAs and DAEs have enhanced processes and systems to effectively oversee the implementation, financial management, monitoring and reporting of climate programmes and projects.</p>	<p>Enhance processes and systems and address capacity gaps related to project implementation and oversight, enabling DAEs, NDAs and the relevant stakeholders to quality assure results, and measure and report climate impacts.</p>

Objective 3 areas of support (10%)



OUTCOMES	BROAD AREAS OF SUPPORT FOR ILLUSTRATIVE PURPOSES
<p>Outcome 3.1 Developing countries, through NDAs or focal points, have made use of knowledge products to address policy gaps and integrated climate investment programming and implementation.</p>	<p>Assist in generating and disseminating knowledge necessary for crafting national climate strategies, bridging policy gaps to drive transformative climate investments.</p> <p>Foster sharing of best practices and lessons learned in the development and execution of climate finance projects and programs.</p>
<p>Outcome 3.2 Enhanced collaboration among developing countries on climate change issues, evidenced by transboundary and regional cooperations/South-South cooperation.</p>	<p>Foster technical cooperation aimed at strengthening and institutionalising partnerships, both within and beyond national borders, including transboundary coalitions and regional initiatives addressing climate change adaptation and mitigation challenges.</p>

Modalities for accessing support



Financing Modalities



Country Window – up to \$7.4m

- US\$ 4 million over 4 years
- US\$ 3 million for NAP – may also access NAP.1 if not yet used
- US\$ 320,000 for LDCs and SIDS for support with placements
- One or two programmes of activities over 4 years
- Direct access or Delivery Partner support

More Info:

<https://www.greenclimate.fund/sites/default/files/document/5-guide-daes-access-readiness-support-20240922.pdf>

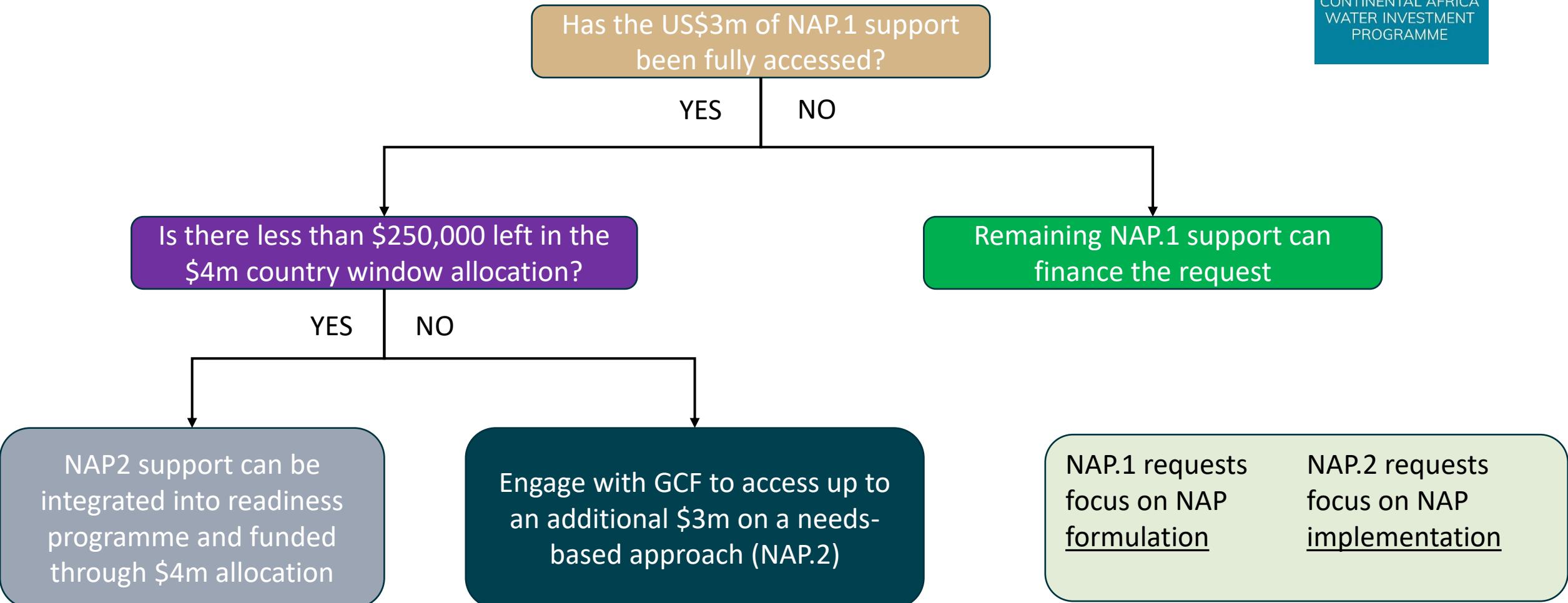
DAE Window – up to \$1m

- US\$1 million over 4 years
- One or two programmes
- Direct Access or delivery partner support

More Info:

<https://www.greenclimate.fund/sites/default/files/document/5-guide-daes-access-readiness-support-20240922.pdf>

Accessing NAP support: how-to



NAP Support Examples



Scenario 1 - Country A has never accessed USD 3 million for NAP formulation (NAP.1). Country A has access to the full USD 7 million. If, upon utilising, USD 7 million for adaptation and mitigation activities, there is a need for further support specifically with adaptation measures, Country A can access up to USD 3 million of additional support (NAP.2) based on a clear and justifiable need for such support and a demonstrable impact on the implementation of the adaptation action. The request for NAP.2 can be submitted once USD 7 million have been committed with the balance of remaining funds falling below USD 250,000.

Scenario 2 - Country B has accessed and partially utilised USD 1.5 million of NAP.1. Country B has access to USD 1.5 million (NAP.1) and to USD 4 million within 2024-2027, with any split of the total funds between mitigation and adaptation measures as it deems appropriate in line with national priorities. If, upon utilising USD 5.5 million (NAP.1 + 4m allocation), there is a need for further support specifically with adaptation measures, Country B can access NAP.2. The request for NAP.2 can be submitted once USD 5.5 million have been committed with the balance of remaining funds falling below USD 250,000.

Scenario 3 - Country C has fully utilised USD 3 million of NAP.1 prior to 1 April 2024. It has access to USD 4 million for adaptation and mitigation activities. If upon utilising USD 4 million, there is a need for further support specifically with adaptation measures, Country C can access NAP.2. The request for NAP.2 can be submitted once USD 4 million have been committed, with the balance of remaining funds falling below USD 250,000.

Readiness: key takeaways



Upstream climate finance
programming support – focus on
advancing GCF pipelines



Can be accessed by NDAs and
DAEs, but both can access through
pre-qualified Delivery Partners



Readiness activities developed
jointly between NDA/DAE and GCF
– not through Delivery Partners

THE END